

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Michael D. Dahlin, et al.

Title:

SYSTEMS AND METHODS FOR INTEGRATING DISEASE

MANAGEMENT INTO A PHYSICIAN WORKFLOW

Application No.: 09/992,035

Filed:

November 23, 2001

Examiner:

UNASSIGNED

Group Art Unit: 3626

Atty. Docket No.: 1039-0030

**COMMISSIONER FOR PATENTS** 

PO Box 1450

Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

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to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

- 1. an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention;
- 2. a representation that a search has been made, other than as described below; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that

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Elise K. Dougherty

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indicates the degree of relevance found by the foreign office.

# STATEMENT UNDER 37 C.F.R. § 1.704(d)

If the May 29, 200	e above-identified application is an original application filed on or after 0:
	each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.
	FEES DUE
This	Information Disclosure Statement is being filed:
	within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
	before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
	during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
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Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account <u>50-2469</u>.

08 · 05 · 04

Respectfully submitted,

John R. Schell, Reg. No. 50,776

Attorney for Applicant(s)

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PTO/SB/08A (08-03)

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Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Cor	mplete if Known	
Application Number	09/992,035	
Filing Date	November 23, 2001	
First Named Inventor	Michael D. Dahlin	-
Art Unit	3626	
Examiner Name	UNASSIGNED	
Attorney Docket Number	1039-0030	

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2 (if known)</sup>			rigures Appear
	Α	<sup>US-</sup> 4,839,822 A	06-13-1989	Dormond et al.	
	В	<sup>US-</sup> 4,916,611	04-10-1990	Doyle, Jr. et al.	
	С	<sup>US-</sup> 5,018,067	05-21-1991	Mohlenbrock et al.	·
	D	<sup>US-</sup> 5,065,315	11-12-1991	Garcia	
	Е	<sup>US-</sup> 5,070,452	12-03-1991	Doyle, Jr. et al.	
	F	<sup>US-</sup> 5,072,383	12-10-1991	Brimm et al.	
	G	<sup>US-</sup> 5,077,666	12-31-1991	Brimm et al.	
	Н	US- 5,101,476	03-31-1992	Kukla	
	1	<sup>US-</sup> 5,301,105	04-05-1994	Cummings, Jr.	
	J	<sup>US-</sup> 5,319,543	06-07-1994	Wilhelm	
,	К	<sup>US-</sup> 5,347,453	09-13-1994	Maestre	
	L	<sup>US-</sup> 5,347,477	09-13-1994	Lee	
	М	<sup>US-</sup> 5,361,202	11-01-1994	Doue	
	N	<sup>US-</sup> 5,366,896	11-22-1994	Margrey et al.	
	0	US- 5,390,238	02-14-1995	Kirk et al.	
	Р	US- 5,528,021	06-18-1996	Lassus et al.	
	Q	<sup>US-</sup> 5,594,638	01-14-1997	lliff	
	R	<sup>US-</sup> 5,660,176	08-26-1997	Hiff	
	S	US- 5,722,418	03-03-1998	Bro	

	_	FORE	IGN PATENT DOC	JMENTS		
Examiner Initials*	Cite No.1	Cite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	⊤°
	TT	WO 2001/133378 A	05-10-2001			
	UU	WO 2001/35376 A1	05-17-2001	RECARE, INC.		
	VV	WO 2002/33654 A1	04/25/2002	RECARE, INC.		
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Signature	Considered	

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Complete if Known Substitute for form 1449/PTO Application Number 09/992,035 Filing Date November 23, 2001 INFORMATION DISCLOSURE First Named Inventor Michael D. Dahlin STATEMENT BY APPLICANT Art Unit 3626 (Use as many sheets as necessary) **Examiner Name** UNASSIGNED Sheet 2 Attorney Docket Number 1039-0030 of |4

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 5,737,539	04-07-1998	Edelson et al.	3
	ВВ	<sup>US-</sup> 5,748,907	05-05-1998	Crane	
	CC	US- 5,769,074	06-23-1998	Barnhill et al.	
	DD	<sup>US-</sup> 5,772,585	06-30-1998	Lavin et al.	
	EE	<sup>US-</sup> 5,778,882	07-14-1998	Raymond et al.	
	FF	<sup>US-</sup> 5,845,255	12-01-1998	Mayaud	
	GG	<sup>US-</sup> 5,868,669	02-09-1999	lliff	
	НН	US- 5,879,163	03-09-1999	Brown et al.	
	- 11	<sup>US-</sup> 5,883,370	03-16-1999	Walker et al.	·
	JJ	<sup>US-</sup> 5,924,074 A	07-13-1999	Evans	
	KK	<sup>US-</sup> 5,946,646	08-31-1999	Schena et al.	
	LL	<sup>US-</sup> 5,951,300	09-14-1999	Brown	
	ММ	<sup>US-</sup> 5,954,641	09-21-1999	Kehr et al.	
	NN	<sup>US-</sup> 6,018,713	01-25-2000	Coli et al.	
	00	US- 6,021,202	02-01-2000	Anderson et al.	
	PP	<sup>US-</sup> 6,024,699 A	02-15-2000	Surwit et al.	•
	QQ	US- 6,026,363 A	02-15-2000	Shepard	
	RR	<sup>US-</sup> 6,047,259 A	04-04-2000	Campbell et al.	
	SS	<sup>US-</sup> 6,055,333 A	04-25-2000	Guzik et al.	

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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
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Sheet 3

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(Use as many sheets as necessary)

Complete if Known				
Application Number	09/992,035			
Filing Date	November 23, 2001			
First Named Inventor	Michael D. Dahlin			
Art Unit	3626			
Examiner Name	UNASSIGNED			
Attorney Docket Number	1039-0030			

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	A3	US- 6,073,097 A	06-06-2000	Gould et al.	
	B3	US- 6,073,375	06-13-2000	Fant et al.	
	C3	US- 6,085,752	07-11-2000	Kehr et al.	
	D3	<sup>US-</sup> 6,090,044	07-18-2000	Bishop et al.	
	E3	<sup>US-</sup> 6,108,635	08-22-2000	Herren et al.	
	F3	<sup>US-</sup> 6,113,540	08-05-2000	Hiff	
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	Н3	US- 6,209,095 B1	03-27-2001	Anderson et al.	
	13	<sup>US-</sup> 6,248,063 B1	06-19-2001	Barnhill et al.	
	J3	<sup>US-</sup> 6,298,348 B1	10-02-2001	Eldering	
	К3	US- 6,317,789	11-13-2001	Rakavy et al.	
	L3	<sup>US-</sup> 6,385,592	05-07-2002	Angles et al.	
	M3	<sup>US-</sup> 6,609,200 B2	08-19-2003	Anderson et al.	
	N3	<sup>US-</sup> 6,678,669 B2	01-13-2004	Lapointe et al.	
	03	US- 2001/0023419 A1	09-20-2001	Lapointe et al.	
	P3	US- 2001/0032099 A1	10-18-2001	Joao	
	Q3	<sup>US-</sup> 2002/0049612 A1	04-25-2002	Jaeger et al.	
	R3	<sup>US-</sup> 4,858,121	08-15-1989	Barber et al.	
	S3	US- 5,992,890	11-30-1999	Simcox	

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INF	ORMATION	I DIS	CLOSURE	Filing Date	November 23, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Michael D. Dahlin	
	(Uso as many she	note ne e	anaccand	Art Unit	3626	
(Use as many sheets as necessary)				Examiner Name	UNASSIGNED	
Sheet	4	of	4	Attorney Docket Number	1039-0030	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
	А3	GORZALCZANY, M. B.; GRADZKI, P., Computational intelligence in medical decision support a comparison of two neuro-fuzzy systems, Industrial Electronics, 1999. ISIE '99. Proceedings of the IEEE International Symposium on, Volume:1, 1999, Page(s): 408-413, vol. 1.	
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